



# Climate warming and vegetation response after Heinrich event 1 (16 700–16 000 cal yr BP) in Europe south of the Alps

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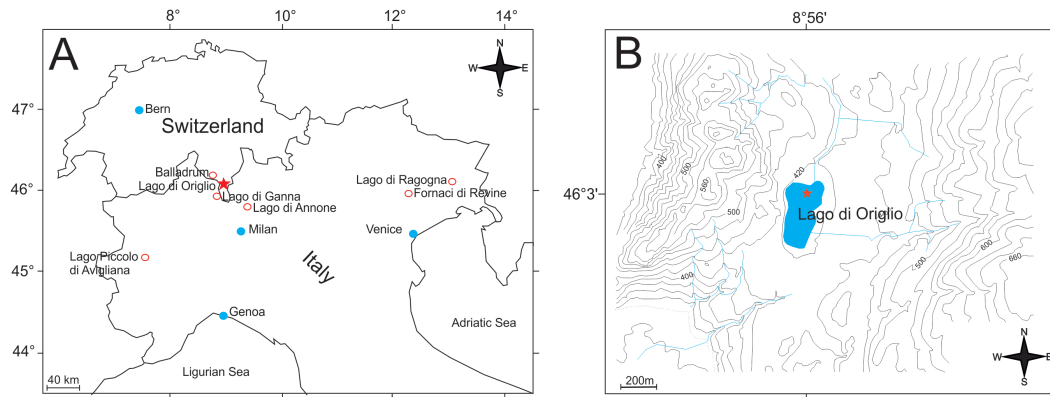
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**Abstract.** Chironomids preserved in a sediment core from Lago di Origlio (416 m a.s.l.), a lake in the foreland of the Southern Swiss Alps, allowed quantitative reconstruction of Late Glacial and Early Holocene summer temperatures using a combined Swiss–Norwegian temperature inference model based on chironomid assemblages from 274 lakes. We reconstruct July air temperatures of ca. 10 °C between 17 300 and 16 000 cal yr BP, a rather abrupt warming to ca. 12.0 °C at ca. 16 500–16 000 cal yr BP, and a strong temperature increase at the transition to the Bølling/Allerød interstadial with average temperatures of about 14 °C. During the Younger Dryas and earliest Holocene similar temperatures are reconstructed as for the interstadial. The rather abrupt warming at 16 500–16 000 cal yr BP is consistent with sea-surface temperature as well as speleothem records, which indicate a warming after the end of Heinrich event 1 (*sensu stricto*) and before the Bølling/Allerød interstadial in southern Europe and the Mediterranean Sea. Pollen records from Origlio and other sites in southern Switzerland and northern Italy indicate an early reforestation of the lowlands 2000–1500 yr prior to the large-scale afforestation of Central Europe at the onset of the Bølling/Allerød period at ca. 14 700–14 600 cal yr BP. Our results suggest that these early afforestation processes in the formerly glaciated areas of northern Italy and southern Switzerland have been promoted by increasing temperatures.

## 1 Introduction

Synchronous early afforestation processes occurred over wide areas in the lowlands of southern Switzerland and northern Italy at ~16 000 cal yr BP (Vescovi et al., 2007), about 1.5 millennia prior to the onset of the Bølling/Allerød warming recorded in continental Europe (e.g. Lang, 1994; Lotter et al., 2012) and in the Greenland ice cores (GI-1, at ca. 14 650 cal yr BP before 1950, Svensson et al., 2008). Climatic warming was suggested as an explanation of the early forest expansion south of the Alps (Vescovi et al., 2007), but local non-pollen evidence for a climatic warming prior to the Bølling/Allerød, necessary to confirm this hypothesis, is presently still lacking. In contrast, afforestation north of the Alps only began at the onset of the Bølling/Allerød warming at 14 700–14 500 cal yr BP (e.g. Lotter, 1999; Litt et al., 2001, 2003), which is well documented in many terrestrial multiproxy records in the region (e.g. Lotter et al., 2012).

Carbon-isotope measurements in stalagmites from Southern France and northern Turkey suggest an early warming between ~16 000 and ~15 900 cal yr BP (Genty et al., 2006; Fleitmann et al., 2009). This early age seems in disagreement with the later and abrupt climatic warming observed during the transition from a glacial to an interglacial climate in ice core records from Greenland (Svensson et al., 2008). However, several late Quaternary sea surface temperature reconstructions from the Mediterranean, the North Atlantic, and the North Pacific indicate an early warming event starting between ~18 000 and ~16 750 cal yr BP (Chapman et al., 1996; Cacho et al., 1999, 2001; Hill et al., 2006).



**Fig. 1.** Map showing the location of the study site. **(A)** Location of Lago di Origlio within Switzerland (star) and other lowland sites with early afforestation in southern Switzerland and northern Italy (red circles): Lago di Annone (Wick, 1996), Balladrum (Hofstetter et al., 2006), Fornaci di Revine (Friedrich et al., 1999), Lago di Ganna (Schneider and Tobolski, 1985), Lago Piccolo di Avigliana (Finsinger et al., 2006), and Lago di Ragogna (Wick, 2004); **(B)** Detailed map of the lake, the star indicates the exact location of the core.

Considering the chronological uncertainties, climatic warming may have coincided with the end of Heinrich event 1 at ca. 16 700 cal yr BP and thus be related to an intensification of the meridional overturning circulation in the Atlantic Ocean (McManus et al., 2004). In this paper we use Heinrich event 1 (HE-1) following the definition of Stanford et al. (2011) for the Heinrich event 1 sensu stricto (17 500–16 700 cal yr BP).

The analysis of chironomid remains in lake sediments has the potential to detect summer temperature change at different temporal scales during the Late Glacial and the Holocene. The strong relationship between the distribution of chironomids and summer air temperature (Lotter et al., 1997; Brooks and Birks, 2001; Heiri and Lotter, 2005) has led to the development of chironomid-based inference models for reconstructing past summer temperatures (so-called transfer functions) and allowed chironomid-based palaeotemperature records to be produced (Brooks, 2006). Many chironomid-based palaeoclimate reconstructions from the alpine region (e.g. Heiri et al., 2003; Heiri and Lotter, 2005; Ilyashuk et al., 2009) and elsewhere in the North Atlantic realm (e.g. Brooks, 1997; Brooks and Birks, 2000; Heiri et al., 2007a) have documented marked climatic shifts during the Late Glacial and Early Holocene period.

Here we present a new chironomid record and quantitative chironomid-based July air temperature reconstruction covering the latest Pleistocene and earliest Holocene ca. 18 000–11 000 cal yr BP from Lago di Origlio (416 m a.s.l.), a lake in the foreland of the Southern Alps of Switzerland. We address the question whether early afforestation south of the Alps coincided with local climate warming prior to the onset of the late-glacial Bølling/Allerød interstadial. Furthermore, we explore patterns of Late Glacial climatic change in Europe and the Mediterranean realm and discuss potential links between climate change and vegetation response after the end of HE-1.

## 2 Material and methods

### 2.1 Site description

Lago di Origlio is a small eutrophic lake (Müller et al., 1998) located at an elevation of 416 m a.s.l. (46°3′3.95″ N, 8°56′32.8″ E) in southern Switzerland (canton Ticino), in the foreland of the Southern Alps, approximately 5 km north of Lugano (Fig. 1). It has a slightly elongated shape and a surface area of about 8 ha, with a minor inlet on the east and an outlet in the north. The climate at present is warm temperate with considerable precipitation during the summer months. The so-called “Insubrian” climate is thus in contrast to the “Mediterranean” climate, which is characterized by more or less pronounced summer drought (Veit, 2002). Monthly mean air temperature and precipitation for the period 1961–1990, interpolated to a 1 ha grid (Thornton et al., 1997), suggest mean January and July air temperature at Origlio of 2.1 °C and 20.7 °C, respectively. Mean annual precipitation is estimated to 1743 mm. The local bedrock is composed of Late Pleistocene siliceous deposits and metamorphic crystalline rock (gneiss). The vegetation in the surroundings of the lake is dominated by *Castanea sativa*, *Quercus petraea*, *Quercus pubescens*, *Alnus glutinosa*, *Fraxinus excelsior*, *Betula pendula*, *Fagus sylvatica*, and *Tilia cordata* (Tinner et al., 1999) and thus typical for warm temperate sub-mediterranean southern Europe.

### 2.2 Coring and sediments

Two field campaigns were carried out in 1993 and 1994 at Origlio. Parallel cores 1 m apart from each other were taken with a Streif-Livingstone piston corer (tube diameter 4.8 cm; Merk and Streif, 1970) from the deepest point of the lake (5.35 m). 19.55 m of lake sediment were retrieved and the cores were correlated using lithostratigraphic markers.

**Table 1.**  $^{14}\text{C}$  dates of Origlio (ORE).

Lab. No.	Depth (cm)	Core	Material	$^{14}\text{C}$ dates, conv. uncal BP	Calibrated age (cal yr BP)*	Cal. 95 % confidence limits*
UtC-4995	1059–1058	ORB	Terr. plant remains, indet. arboreal bark	$7540 \pm 50$	8360	8288–8421
UtC-4996	1102–1103	ORB	Leaf indet.	$8080 \pm 60$	9006	8760–9141
UtC-4997	1148	ORB	Twig indet.	$8220 \pm 50$	9187	9025–9310
UtC-4998	1267–1264	ORB	Indet. arboreal bark	$9350 \pm 60$	10 567	10 392–10 731
UtC-5000	1289–1286	ORE	Indet. arboreal bark	$10 090 \pm 80$	11 667	11 321–11 989
UtC-5001	1308–1307	ORE	Indet. arboreal bark	$10 980 \pm 60$	12 847	12 675–13 071
UtC-5002	1314–1311	ORE	Terr. indet. epidermis	$11 160 \pm 60$	13 042	12 823–13 237
UtC-5003	1325	ORE	Terr. indet. epidermis	$12 330 \pm 70$	14 353	14 005–14 904
UtC-5004	1398	ORE	Wood	$14 520 \pm 80$	17 498	17 246–17 949

Plant macrofossils were AMS dated at the Utrecht (UtC-, Holland) Radiocarbon Laboratory. \* Calibration of radiocarbon dates: Calib 6.0 (Reimer et al., 2004).

The core section analysed consists of silty gyttja (13.94–13.38 m), slightly silty gyttja (13.38–13.18 m) and gyttja (13.18–12.75 m), a detailed description of the sediment is provided in Tinner (1998).

### 2.3 Radiocarbon dating and vegetation history

Radiocarbon ages for Origlio were obtained by Accelerator Mass Spectrometry (AMS) at the Utrecht Radiocarbon Laboratory (the Netherlands) on selected terrestrial plant macrofossils (Table 1). Nine samples of terrestrial plant remains (UtC-4995–4998, UtC-5000–5004) were dated in 1995–1997. Radiocarbon ages were converted to calendar years Before Present (cal yr BP) with the program Calib version 6.0 (Reimer et al., 2004) using the INTCAL09 dataset (Heaton et al., 2009).

The main traits of vegetation history at Origlio during the past 20 000 yr were presented in Tinner et al. (1999, 2005) and Vescovi et al. (2007). Pollen data suggest that steppe tundra prevailed before ca. 18 000 cal yr BP. At around 18 000 cal yr BP *Juniperus* shrubs expanded into the steppe tundra as evidenced by stomata and pollen. This vegetational change stabilized the soils, while the shift from sandy silt to silty gyttja shows that the erosional input into the lake was significantly reduced. Subsequently, *Juniperus* stands were replaced by an open *Pinus cembra*, *P. sylvestris*, and *Betula* woodland (stomata and pollen evidence) at ca. 16 000 cal yr BP. This woodland persisted until ca. 14 500 cal yr BP, when *Pinus sylvestris* and tree birch outcompeted *Pinus cembra*, forming rather closed boreal forests at the onset of the Bølling/Allerød interstadial. After ca. 13 200 cal yr BP thermophilous trees such as e.g. *Quercus*, *Ulmus* and *Tilia* expanded in the Origlio area. Steppic plants (e.g. *Artemisia*) re-expanded at ca. 12 800 cal yr BP at the onset of the Younger Dryas cooling, but were strongly

reduced at the onset of the Holocene at ca. 11 600 cal BP, when *Pinus*, *Betula*, and the thermophilous trees recovered.

### 2.4 Sampling and analysis of fossil chironomids

The core was sampled for chironomid analysis at regular 4–5 cm intervals between 12.76 and 13.94 m depth, using about 10–20 cm<sup>3</sup> of wet sediment (1 cm thick slices). In total 28 samples were taken from the sediment cores of Origlio. The sediment samples were sieved through a 100  $\mu\text{m}$  sieve without any chemical pretreatment. Chironomid head capsules were sorted in a Bogorov tray under a stereomicroscope (30–50  $\times$  magnification), dried, and mounted in Euparal for taxonomic identification. The taxa were identified at 100–400  $\times$  magnification under a compound microscope. A minimum count sum of 50 head capsules per sample was aimed for (Heiri and Lotter, 2001). However, 12 samples yielded count sums below this threshold (10–43 headcapsules). Head capsules containing a complete mentum were counted as one, head capsules containing half a mentum were counted as half, while head capsules with less than half a mentum were disregarded. Taxonomic identification followed Wiederholm (1983), Schmid (1993), Rieradevall and Brooks (2001), and Brooks et al. (2007).

### 2.5 Numerical analysis

To summarize major compositional changes of chironomid assemblages through time, a detrended correspondence analysis (DCA) was conducted using the program CANOCO 4.5 (ter Braak and Šmilauer, 2002). The DCA was performed on square-root transformed percentage data and with down-weighting of rare taxa. The gradient length of the first DCA axis was 2.7 standard deviation units, justifying unimodal response models (Birks, 1995). The chironomid record was partitioned into zones with the optimal sum of squares partitioning method (Birks and Gordon, 1985) as implemented

in ZONE, version 1.2, written by Steve Juggins (University of Newcastle). The number of statistically significant assemblage zones was determined using the broken-stick approach (Bennett, 1996) using BSTICK, version 1.0 (J. M. Line and H. J. B. Birks, unpublished software).

## 2.6 Temperature reconstruction

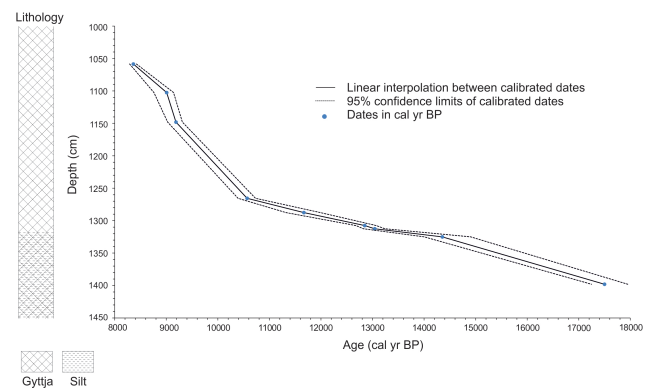
Quantitative temperature estimates were produced based on the fossil chironomid assemblages using a combined Swiss–Norwegian temperature inference model (Heiri et al., 2011) consisting of 274 lakes covering a July air temperature range of 3.5 to 18.4 °C and including information on the distribution of 154 chironomid taxa. This combined dataset has the advantage that it contains distributional data on most chironomid taxa expected to occur during the late Quaternary in European lake sediments and it covers a larger temperature range than most other existing regional calibration datasets.

A weighted averaging partial least squares model (WAPLS; ter Braak and Juggins, 1993; ter Braak et al., 1993) with two components was used for reconstruction. The model features a cross-validated root mean square error of prediction (RMSEP) of 1.55 °C, a mean bias of 1.35 °C, a maximum bias of −0.008 °C, and a cross-validated coefficient of determination ( $r^2$ ) of 0.84 between observed and inferred July air temperatures. Cross validation was based on bootstrapping (9999 cycles) and calculations were based on square root transformed percentage data.

## 2.7 Reconstruction diagnostics

Five numerical criteria were calculated to evaluate the reliability of the chironomid-inferred temperature reconstruction (Birks et al., 2010): the sample specific standard error of prediction (SSPE); the chi-square distance (dissimilarity coefficient) to the closest modern analogue to identify if any fossil assemblages lack “good” modern analogues within the modern calibration dataset; the goodness-of-fit measures derived from canonical correspondence analysis (CCA) of the modern and fossil data with July air temperature as the sole constraining variable to evaluate the fit of fossil assemblages to this variable; the percentage of rare taxa (Hill, 1973;  $N_2 < 5$ ); and the percentage of taxa not occurring in the training set.

Chi-square distance and Hill’s  $N_2$  values were calculated using C2 (Juggins, 2007), and CCA was carried out with the program CANOCO 4.5 (ter Braak and Šmilauer, 2002). Fossil assemblages with a squared chi-square distance to the most similar sample in the modern calibration dataset larger than the 2nd and 5th percentile of all squared chi-square distances in the modern assemblage data were identified as samples with “no close” and “no good” analogue, respectively (Birks et al., 1990). Samples with a residual distance to axis one higher than the extreme 10 and 5 % of all residual distances in the modern calibration dataset when calculating a CCA with July air temperature as sole explanatory variable



**Fig. 2.** Age-depth model of the Origlio record including lithological description of the sediments. AMS  $^{14}\text{C}$  ages were obtained from 9 plant macrofossils (see Table 1). The  $^{14}\text{C}$  dates were calibrated (cal yr BP) with the program Calib version 6.0 (Reimer et al., 2004) using the IntCal09 dataset (Heaton et al., 2009). The age-depth model is based on linear interpolation.

were considered to have a “poor” and “very poor” fit with temperature, respectively (Birks et al., 1990).

## 3 Results

### 3.1 Chronology

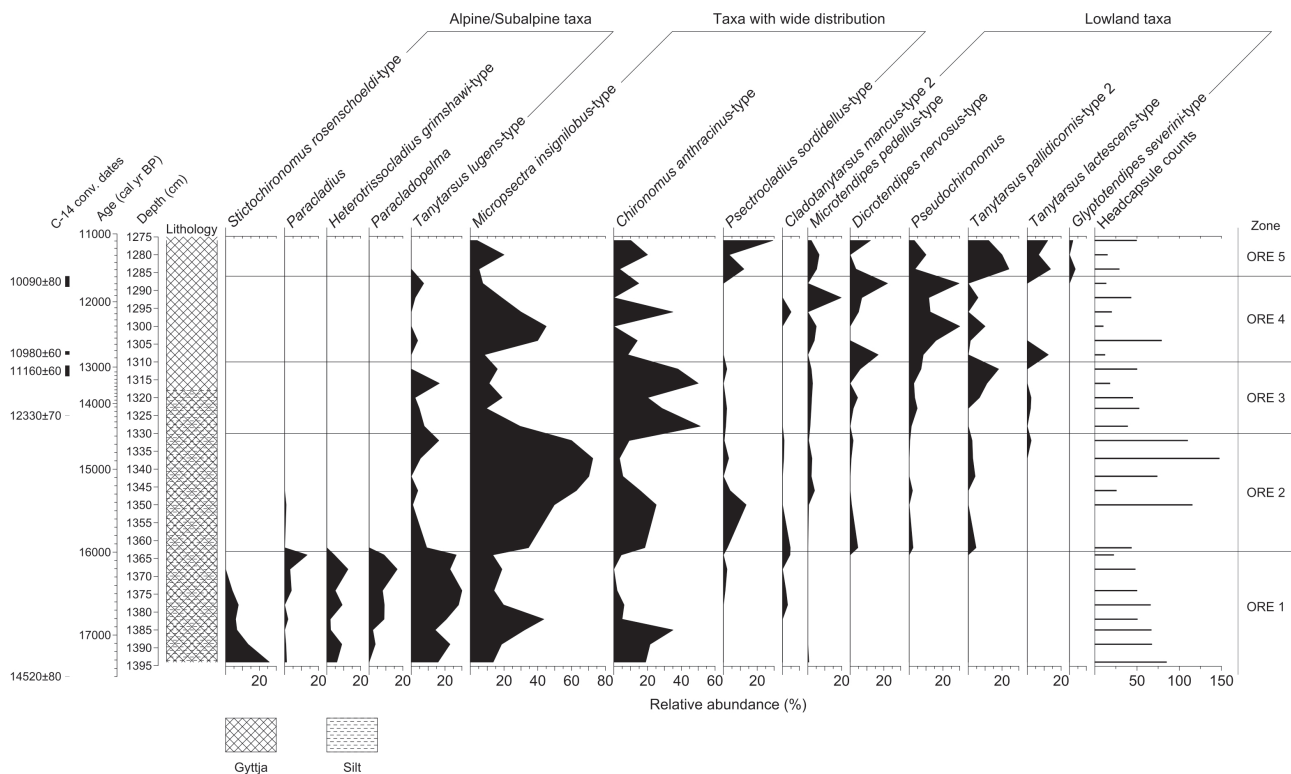
The Origlio age-depth model is constrained by calibrated radiocarbon ages from nine terrestrial plant macrofossils (Table 1). Linear interpolation between the calibrated radiocarbon ages was used to assign individual ages to the samples (Fig. 2). The average 95 % confidence interval of the calibrated ages encompasses  $\sim 470$  yr, suggesting chronological uncertainties of ca.  $\pm 235$  yr for the record.

### 3.2 The Origlio chironomid record

In total, 64 different chironomid taxa were identified in 28 samples. Twenty-nine taxa had relative abundances lower than 5 %. Downcore changes in the chironomid record of Origlio are very pronounced and a selection of taxa is shown in Fig. 3. Five statistically significant assemblage zones were distinguished.

Zone ORE-1 (1394–1363 cm;  $\sim 17\,350$ – $16\,000$  cal yr BP) is dominated by *Paracladius*, *Stictochironomus rosen-schoeldi*-type, *Tanytarsus lugens*-type, *Heterotrissocladius grimshawi*-type, and *Paracladopelma*, which reach maximum abundances in this zone. *Microspectra insignilobus*-type and *Chironomus anthracinus*-type show high abundances at the onset and lower abundances towards the end of the zone. The following taxa occur at the transition to zone ORE-2: *Dicrotendipes nervosus*-type, *Pseudochironomus* and *Tanytarsus pallidicornis*-type 2. Chironomid





**Fig. 3.** The Origlio chironomid record. Relative abundances (%) of selected chironomid taxa and significant chironomid assemblage zones are shown. The chironomids are grouped according to their altitudinal preference in the Swiss Alps (Heiri et al., 2003; Heiri and Lotter, 2010) to support interpretation and highlight trends.

concentrations are on average 2.7 head capsules per  $\text{cm}^3$  and lowest within the whole record.

Zone ORE-2 (1363–1330 cm;  $\sim 16\,000$ – $14\,550$  cal yr BP) is characterized by the absence of taxa such as *Stictochironomus rosenchoeldi*-type, *Heterotrissocladius grimshawi*-type, and *Paracladopelma*. In the middle of the zone *Paracladius* vanishes entirely from the record, while *Tanytarsus lugens*-type decreases at the onset and increases again towards the end of the zone. The most dominant taxon is *Microspectra insignilobus*-type, which reaches maximum abundances of about 70 %. *Chironomus anthracinus*-type displays high abundances at the onset and lower abundances towards the end of the zone. *Dicrotendipes nervosus*-type, *Pseudochironomus*, and *Tanytarsus pallidicornis*-type 2 persist in low abundances. *Microtendipes pedellus*-type and *Tanytarsus lactescens*-type appear for the first time in the record. Chironomid concentrations are on average 8.5 head capsules per  $\text{cm}^3$  and highest within the whole record.

Zone ORE-3 (1330–1310 cm;  $\sim 14\,550$ – $13\,000$  cal yr BP) displays a pronounced decrease in *Microspectra insignilobus*-type and a strong increase in *Chironomus anthracinus*-type, which reaches maximum abundances in this zone (50 %). *Tanytarsus lugens*-type is still present. *Dicrotendipes nervosus*-type, *Pseudochironomus*, and *Tanytarsus pallidicornis*-type 2 display higher abundances than in the

previous zone and increase towards the end of the zone. *Microtendipes pedellus*-type and *Tanytarsus lactescens*-type are present in almost the same abundances as in zone ORE-2, while the latter taxon increases in this zone. Chironomid concentrations are on average 4 head capsules per  $\text{cm}^3$ .

Zone ORE-4 (1310–1286 cm;  $\sim 13\,000$ – $11\,600$  cal yr BP) displays a pronounced decrease in *Chironomus anthracinus*-type and a strong increase in *Microspectra insignilobus*-type. *Tanytarsus lugens*-type is present in single samples and low abundances. *Microtendipes pedellus*-type and *Dicrotendipes nervosus*-type reach maximum abundances towards the end of the zone. *Pseudochironomus* displays maximum abundances at the onset and towards the end of the zone. *Tanytarsus pallidicornis*-type 2 is present in lower abundances than in the previous zone but increases towards the end of the zone. *Tanytarsus lactescens*-type only occurs in single samples at the onset and the end of the zone, while it is absent in between. Chironomid concentrations are on average 3 head capsules per  $\text{cm}^3$ .

In zone ORE-5 (1286–1276 cm;  $\sim 11\,600$ – $11\,100$  cal yr BP) *Microspectra insignilobus*-type and *Chironomus anthracinus*-type are present in lower abundances than in the previous one. *Tanytarsus lugens*-type completely disappears from the record. *Microtendipes pedellus*-type, *Dicrotendipes nervosus*-type and *Pseudochironomus* decrease in

abundance, whereas *Tanytarsus pallidicornis*-type 2, *Tanytarsus lactescens*-type, and *Glyptotendipes severini* increase in abundance at the beginning of the zone. Chironomid concentrations are on average 3 head capsules per cm<sup>3</sup>.

### 3.3 Ordination of the Origlio chironomid record

Changes in DCA axis 1 sample scores largely coincide with the five statistically significant assemblage zones (ORE-1 to ORE-5) (Fig. 4a). The first two DCA axes explain 48.6 and 12.9 % of the total variance in the chironomid data. The chironomids display a major change in assemblage composition equivalent to 1.5 SD units at the transition from zone ORE-1 to ORE-2 (~16 000 cal yr BP), the changes observed at the transitions between the subsequent zones (ORE-2/3, ORE-3/4 and ORE-4/5) are of far smaller amplitude and range from 0.2 to 0.35 SD.

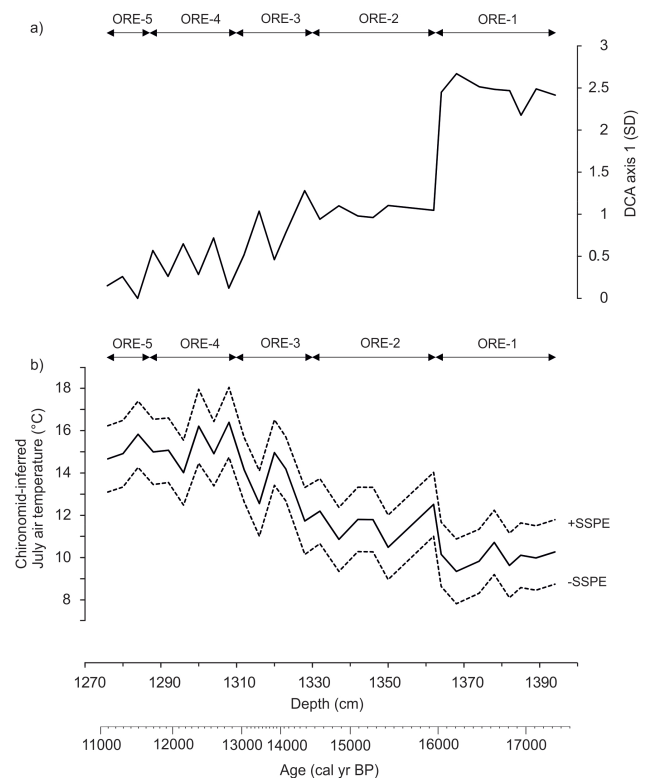
### 3.4 Chironomid-inferred temperatures

Taxa with low taxonomic resolution as for instance unidentified Chironomini, Tanytarsini, Orthocladiinae, *Paratanytarsus*, *Psectrocladius*, and *Tanytarsus* were excluded when inferring temperature. Of the remaining 58 fossil taxa, 53 occur in the transfer function.

When applied to the Origlio chironomid record, the transfer function reconstructed average July air temperatures of ~10 °C for zone ORE-1 (~17 350–16 000 cal yr BP, Fig. 4b). Inferred temperatures abruptly increase by about 2.5 °C at the onset of zone ORE-2 (~16 000 cal yr BP) and stay relatively stable around ~11.6 °C until the end of the zone. Zone ORE-3 (~14 550–13 000 cal yr BP) is characterized by a temperature increase of about 2.5–3.2 °C at ~14 500 cal yr BP. July air temperatures of zone ORE-3 are higher than in the previous zone and on average 13.5 °C. A distinct centennial-scale cooling of ~2.4 °C seems to occur at 1316 cm (~13 400 cal yr BP). However, this change in temperature is based on a single sample. Average July air temperatures of zone ORE-4 are ~15.3 °C, with the first part (~13 000–12 300 cal yr BP), being slightly warmer (~15.8 °C) than the second part (~12 300–11 600 cal yr BP, ~14.7 °C). Reconstructed temperatures decrease by about 1.9 °C between 1304 and 1296 cm (~12 650–12 150 cal yr BP), although the decrease is not very clear in the reconstruction and seems to occur in two steps. In the uppermost part of the record (ORE-5; ~11 600–11 100 cal yr BP), chironomid-inferred July air temperatures slightly increase by about 0.8 °C. They are on average ~15.1 °C, and, therefore slightly lower than during the previous zone.

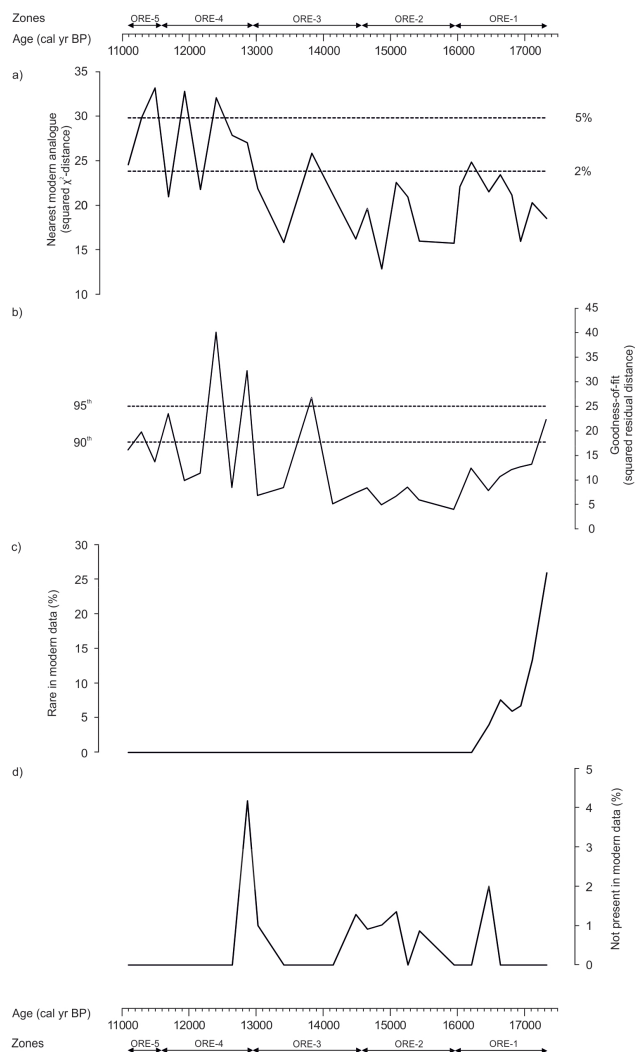
### 3.5 Evaluation of the inferred temperatures

The temperature reconstruction for Origlio was characterized by sample specific errors of prediction (SSPE) between 1.5–1.75 °C (Fig. 4b). An evaluation of the modern analogues for



**Fig. 4.** (a) Sample scores of the first DCA (detrended correspondence analysis) axis of the chironomid assemblages plotted versus sediment depth and age, and (b) chironomid-inferred July air temperatures estimated based on a weighted averaging partial least squares model using the Swiss–Norwegian modern training set (Heiri et al., 2011) (including sample specific error of prediction: SSPE).

fossil assemblages in the modern training set disclosed that ca. 14.3 % of the samples have “no good” and “no close” analogue (two samples from the Younger Dryas and two from the earliest Holocene) and additional 17.9 % have “no close” analogue (one from the Oldest Dryas, two from the Bølling/Allerød, one from the Younger Dryas and one from the earliest Holocene) (Fig. 5a). Goodness-of-fit statistics revealed that 10.7 % of the samples have a “very poor fit” and a “poor fit” to temperature (two from the Bølling/Allerød and one from the Younger Dryas), while 10.7 % of the samples have a “poor fit” with temperature (one from the Oldest Dryas, one from the Younger Dryas and one from the earliest Holocene) (Fig. 5b). In many of the Younger Dryas (ORE-4) and Early Holocene (ORE-5) samples we did not reach a minimum count of 50 head capsules recommended for subfossil chironomid analysis (Heiri and Lotter, 2001). Many of these assemblages are also characterized by a “no good” analogue situation and a “very poor” fit with temperature. Assemblages with high abundances of *Stictochironomus rosenschoeldi*-type are unusual in the modern training set and this taxon only occurs in Swiss alpine lakes, whereas



**Fig. 5.** Diagnostic statistics of the chironomid-inferred July temperature reconstruction for the Origlio record (a–d). (a) Modern analogues for the fossil samples in the calibration data set; (b) goodness-of-fit statistics of the fossil samples with temperatures; (c) percentage of rare chironomid head capsules ( $N_2 < 5$ ); (d) chironomid taxa not represented in the calibration set. Horizontal dashed lines are used to identify samples with “no close” (2%) and “no good” (5%) modern analogues, and samples with “poor” (90th percentile) and “very poor fit” (95th percentile) with temperature.

it is absent from Norwegian lakes. This taxon is also identified as “rare” in the modern calibration dataset and solely responsible for the high proportion of rare taxa found during the Oldest Dryas (6–26%, Fig. 5c). The maximum abundance of taxa not occurring in the modern training set was  $\leq 4\%$  in any given sample (Fig. 5d).

## 4 Discussion

### 4.1 Interpretation of faunal trends

The dominance of taxa typical of cold environments (e.g. *Paracladius*, *Stictochironomus rosenschoeldi*-type, *Tanytarsus lugens*-type, see Heiri and Lotter, 2010) suggests low July air temperatures before  $\sim 16\,000$  cal yr BP (ORE-1). For the same section the presence of taxa usually found in the profundal of oligotrophic lakes, such as *Stictochironomus rosenschoeldi*-type, *Tanytarsus lugens*-type, *Paracladopelma*, *Heterotrissocladius grimshawi*-type, and *Micropsectra insignilobus*-type (Saether, 1979; Brooks et al., 2007) probably indicates that the lake was deep and relatively nutrient-poor. Towards the end of this zone, warm-adapted littoral lowland taxa such as *Dicretodipes nervosus*-type, *Pseudochironomus*, and *Tanytarsus pallidicornis*-type 2 (Heiri et al., 2011) start to increase in abundance, likely as a consequence of warmer climatic conditions.

The disappearance of cold-adapted taxa such as *Stictochironomus rosenschoeldi*-type, *Paracladius*, *Heterotrissocladius grimshawi*-type, and *Paracladopelma* as well as low abundances of *Tanytarsus lugens*-type, also a taxon common in cold-water environments (Brooks et al., 2007; Heiri et al., 2011), suggest warmer conditions between  $\sim 16\,000$  and  $14\,550$  cal yr BP (ORE-2). This interpretation is supported by the occurrence of warm-adapted littoral taxa such as *Dicretodipes nervosus*-type, *Pseudochironomus*, and *Tanytarsus pallidicornis*-type 2 (Brooks et al., 2007; Heiri et al., 2011), which can typically be encountered in oligo- to mesotrophic lakes (Saether, 1979). The presence of the deep-water inhabitant *Chironomus anthracinus*-type, a taxon tolerant of low oxygen concentrations (Brooks et al., 2007), might reflect oxygen depletion of the lake water, possibly as a result of enhanced lake stratification associated with warmer climatic conditions (Ilyashuk et al., 2009). The increase in the profundal taxa *Micropsectra insignilobus*-type and *Tanytarsus lugens*-type (Brooks et al., 2007) towards the end of ORE-2 coincides with decreasing abundances of *Chironomus anthracinus*-type and may reflect higher hypolimnetic oxygen concentrations.

Warm-adapted littoral taxa such as *Dicretodipes nervosus*-type, *Pseudochironomus*, *Tanytarsus pallidicornis*-type, and *Tanytarsus lactescens*-type (Brooks et al., 2007) increase during ORE-3 ( $\sim 14\,550$ – $13\,000$  cal yr BP), possibly as a result of warmer summers. *Chironomus anthracinus*-type displays maximum abundances, probably due to warmer temperatures, which favored lake stratification and therefore enhanced hypolimnetic oxygen consumption. Low oxygen concentrations possibly also led to the decline of *Micropsectra insignilobus*-type and limited the expansion of *Tanytarsus lugens*-type, which under temperate climatic conditions are both usually abundant in the profundal of well-oxygenated lakes (Hofmann, 1988; Clerk et al., 2000; Brooks et al., 2007; Brodersen and Quinlan, 2006). These taxa may have survived

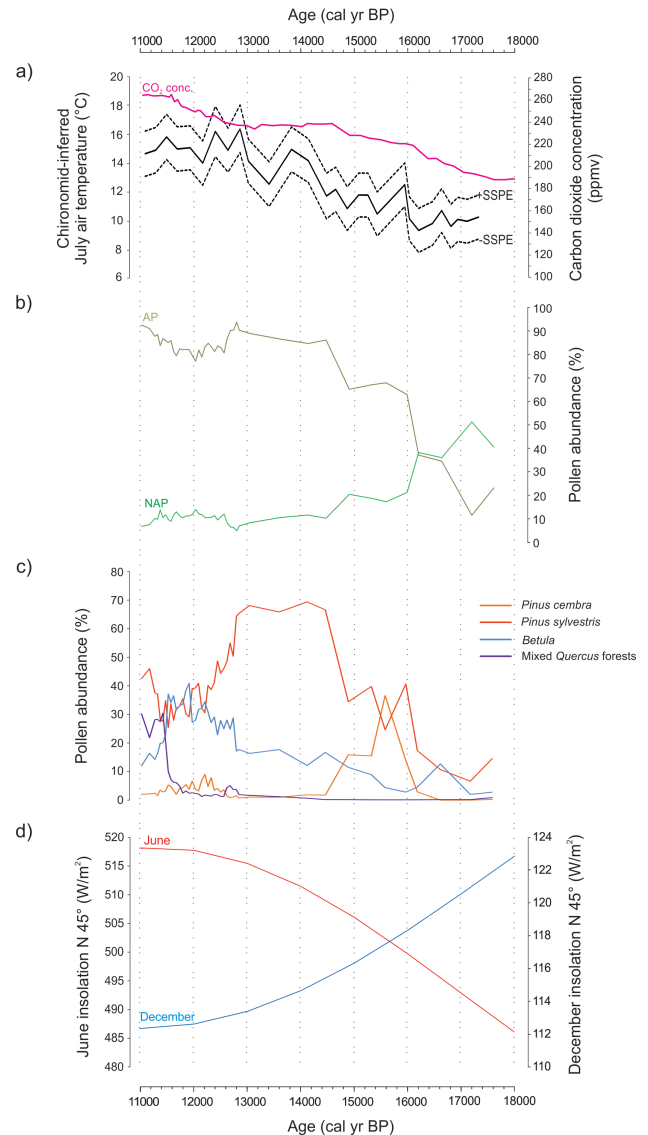
in the littoral of the lake, where oxygen was not a limiting factor (Brodersen and Quinlan, 2006).

*Chironomus anthracinus*-type and *Tanytarsus lactescens*-type decreased between  $\sim 13\,000$  and  $11\,600$  cal yr BP (ORE-4), probably as a result of cooler temperatures. The dominance of littoral taxa such as *Microtendipes pedellus*-type, *Dicortendipes nervosus*-type, and *Pseudochironomus*, which can be encountered in shallow lakes or in the littoral of deeper lakes (Brodersen and Quinlan, 2006), suggests that lake levels at that time may have been low and that the lake was slightly mesotrophic (Saether, 1979). The re-expansion of the cold-adapted taxon *Micropsectra insignilobus*-type, which usually occurs in the profundal, but may move into the littoral of cold lakes (Hofmann, 1984), suggests a cooler climate. In the section dated to  $\sim 11\,600$ – $11\,100$  cal yr BP (ORE-5) the cold-adapted *Tanytarsus lugens*-type disappeared and warm-adapted, littoral chironomids such as *Tanytarsus pallidicornis*-type 2, *Tanytarsus lactescens*-type, and *Glyptotendipes severini*-type (Heiri and Lotter, 2010) increased, suggesting warmer climatic conditions. The dominance of littoral taxa, which are considered to be typical of mesotrophic lakes, such as for instance *Glyptotendipes severini*-type (Saether, 1979), indicates that the lake was shallow and possibly mesotrophic.

#### 4.2 Reconstructed temperatures

The Origlio record provides the first chironomid-based temperature reconstruction south of the Alps, which covers most of the transition from the Full Glacial to the Holocene, including the treeless vegetation period before  $\sim 16\,000$  cal yr BP (Fig. 6). During the Last Glacial Maximum (LGM,  $\sim 23\,000$ – $19\,000$  cal yr BP) small and isolated forest patches had survived locally in unglaciated areas of northern Italy, as is unambiguously shown by well-dated high-resolution macrofossil and pollen records (e.g. Kaltenrieder et al., 2009). This suggests that in the warmest areas of northern Italy temperatures never fell significantly below  $10^\circ\text{C}$  during the summers of the LGM, since July air temperatures below  $10^\circ\text{C}$  usually limit tree and forest growth (Lang, 1994). According to the Origlio record (Tinner et al., 1999), deglaciation in the southern Alpine foreland had started significantly before  $\sim 18\,000$  cal yr BP, in line with increasing Northern Hemisphere summer insolation (Berger and Loutre, 1991) and increasing atmospheric  $\text{CO}_2$  concentrations (Smith et al., 1999; Laurantou et al., 2010) (Fig. 6). Our new chironomid record suggests that average July air temperatures prior to  $\sim 16\,000$  cal yr BP were ca.  $10^\circ\text{C}$  at Origlio and thus more than  $10^\circ\text{C}$  cooler than today. July means around  $10^\circ\text{C}$  prior to  $\sim 16\,000$  cal yr BP indeed explain the prevalence of treeless *Juniperus* shrub tundra (probably *J. nana*) at that time (Tinner et al., 1999).

Climate at Origlio became warmer in the period  $\sim 16\,000$ – $14\,550$  cal yr BP with a fairly abrupt increase in temperature of about  $2.5^\circ\text{C}$  dated to  $\sim 16\,000$  cal yr BP. The age



**Fig. 6.** (a) Chironomid-inferred July air temperature estimates including sample specific error of prediction (SSPE) compared with carbon dioxide concentration data from the European Project of Ice Coring in Antarctica (EPICA) (Laurantou et al., 2010); (b) pollen percentages of arboreal (AP) and non-arboreal pollen (NAP), and (c) of *Pinus sylvestris*, *Pinus cembra*, *Betula*, and mixed *Quercus* forests (*Quercus*, *Ulmus*, *Tilia*) of the Origlio sediment core (Tinner et al., 1999); (d) mean winter (December–February) and mean summer (June–August) insolation values at  $45^\circ\text{N}$  (Berger and Loutre, 1991).

of this early Late Glacial climatic warming south of the Alps is not well constrained in the Origlio record. However biostratigraphical comparison (mainly pollen) with other lowland sites in southern Switzerland and northern Italy shows that afforestation in the region started around  $16\,000$  cal yr BP (Vescovi et al., 2007), providing independent evidence for the robustness of the Origlio age-depth



model. For instance, at the nearby mire Balladrum (ca. 19 km distant to the northwest, 390 m a.s.l.) (Fig. 1) the pollen and macrofossil-inferred expansion of *Pinus cembra* forests is dated to  $\sim 16\,500\text{--}16\,000$  cal yr BP (*Pinus cembra* macrofossils,  $13\,100 \pm 100$  yr  $^{14}\text{C}$  BP, Hofstetter et al., 2006), showing that afforestation probably occurred some centuries prior to  $\sim 16\,000$  cal yr BP. Other lowland pollen, stomata, and/or macrofossil records from Italy (Fig. 1) suggest afforestation 1.5–2 millennia before the onset of the Bølling interstadial. These records include Lago di Annone (Wick, 1996); Lago Piccolo di Avigliana (Finsinger et al., 2006); Lago di Ragogna (Wick, 2004); Fornaci di Revine (Friedrich et al., 1999); Lago di Ganna (Schneider and Tobolski, 1985). However, sites above ca. 1000 m a.s.l. remained unforested until the onset of the Bølling/Allerød interstadial.

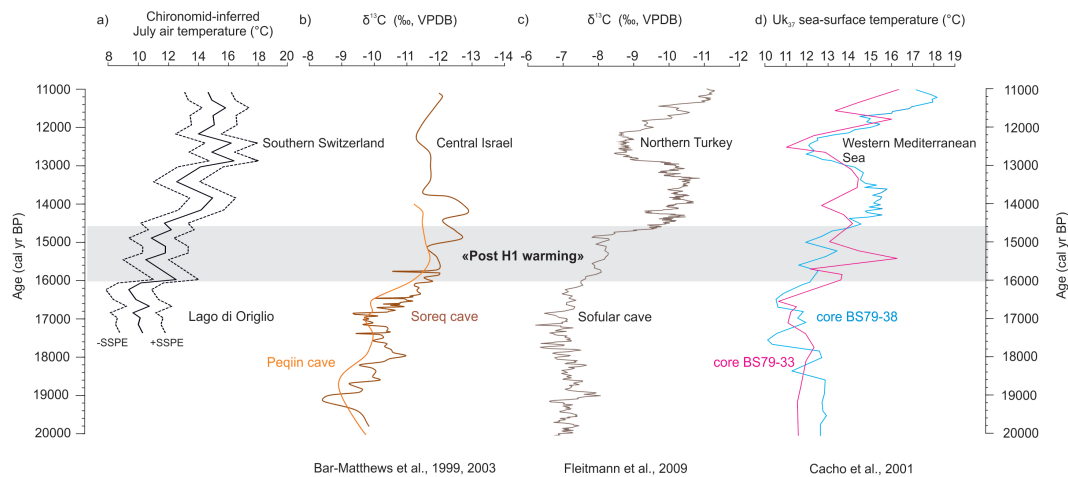
Average chironomid-inferred July air temperatures  $\sim 16\,000\text{--}14\,550$  cal yr BP reached  $11.6^\circ\text{C}$  at Origlio, which is sufficient to allow forest growth (Lang, 1994) and is thus in good agreement with the pollen, macrofossil, and stomata records at Origlio and elsewhere in the lowlands of the study region. If adjusted to Origlio altitudes using standard lapse rates of  $6^\circ\text{C km}^{-1}$ , chironomid-based temperature reconstructions for this period from mountain sites in the southern Alps (Heiri et al., 2007b; Samartin et al., 2012) range between  $14.6$  and  $16.2^\circ\text{C}$ , which is about  $3\text{--}4.5^\circ\text{C}$  warmer than the Origlio reconstruction. However, a disagreement of ca.  $3^\circ\text{C}$  is within the method-inherent reconstruction errors ( $\pm 1.5\text{--}1.6^\circ\text{C}$  SSPE). Furthermore, local climatic effects such as adiabatic winds, temperature lapse rates, and the mass elevation effect of the Central Alpine mountain range (Landolt, 1992) may have affected local temperatures and been more variable in the Late Glacial period than today.

The early Late Glacial warming at  $\sim 16\,000$  cal yr BP as inferred by chironomids is neither evidenced in the oxygen-isotope records from Greenland ice cores (Björck et al., 1998; Svensson et al., 2008) nor in stable oxygen isotope studies of bulk sediments or ostracods in the Northern Alps (e.g. Lotter et al., 1992; von Grafenstein et al., 1999). Variations in these oxygen-isotope records are in good agreement with temperature changes reconstructed by other palaeoclimatic proxies from Europe north of the Alps such as chironomid records (e.g. Heiri and Millet, 2005; Heiri et al., 2007a; Larocque-Tobler, 2010; Lotter et al., 2012). Pollen sequences unambiguously document that north of the Alps afforestation did not start before the onset of the Bølling interstadial at  $14\,700$  cal yr BP (e.g. Lotter, 1999; Litt et al., 2001, 2003). However, a chronologically poorly constrained, though characteristic expansion of *Betula nana*, evidenced both by pollen and macrofossils, occurred at many sites north of the Alps prior to the Bølling-Allerød interstadial. This dwarf birch phase has been attributed either to pedogenesis or to an increase in summer temperatures (Ammann and Tobolski, 1983; Gaillard, 1985), but has also been interpreted as the result of increasing atmospheric  $\text{CO}_2$  concentrations that started rising between  $\sim 17\,000$  and  $\sim 16\,500$  cal yr BP

(Lourantou et al., 2010). On the basis of the available dates (some on terrestrial macrofossils) the expansion of the dwarf birch tundra has been dated to  $\sim 17\,500\text{--}15\,000$  cal yr BP (Welten, 1982; Ammann and Lotter, 1989). A substantial warming (to values of  $15^\circ\text{C}$  for mean July temperature and  $0^\circ\text{C}$  for mean January temperature) has been inferred based on few coleopteran taxa at the beginning of the dwarf birch phase (Gaillard and Lemdahl, 1994). Other insect records from the Swiss Plateau suggest lower mean July temperatures of  $10\text{--}12^\circ\text{C}$  for the period before the onset of the Bølling-Allerød interstadial (Elias and Wilkinson, 1983). At Schleinsee (southern Germany) Wagner-Cremer and Lotter (2011) inferred an increase in growing degree-days (cumulative temperature  $> 5^\circ\text{C}$ ) and hence an extension of the growing season before the onset of the Bølling-Allerød interstadial. This evidence is based on epidermal cell morphology of *Betula nana* leaves and again chronologically not well constrained. According to the only available radiocarbon date this event may have an age of  $15\,400\text{--}14\,700$  cal BP and is hence significantly younger than  $16\,500\text{--}16\,000$  cal BP.

Further south, in France and Turkey, carbon isotopes of stalagmite records suggest soil and vegetation development in response to climate warming as early as  $\sim 16\,000\text{--}15\,900$  cal yr BP, whereas stalagmites from Israel show similar environmental developments already at  $\sim 19\,000$  cal yr BP (Bar-Matthews et al., 1999, 2003; Genty et al., 2006; Fleitmann et al., 2009) (Fig. 7). Climatic warming before the Bølling/Allerød interstadial is also recorded in Mediterranean and North Atlantic marine sequences. Alkenone-derived sea surface temperature reconstructions as well as  $\delta^{18}\text{O}$  measurements on foraminifera from the western Mediterranean Sea suggest a gradual Late Glacial warming that started between  $\sim 18\,000$  and  $\sim 17\,000$  cal yr BP (Cacho et al., 1999, 2001) (Fig. 7), whereas sea surface temperatures increased in the subtropical North Atlantic at about  $17\,500$  cal yr BP (Chapman et al., 1996) and at around  $17\,000$  cal yr BP in the Iberian margin of the North Atlantic (Naughton et al., 2009). This early warming has been associated with a recovery of the meridional overturning circulation in the Atlantic Ocean between  $\sim 18\,000$  and  $\sim 17\,000$  cal yr BP (McManus et al., 2004). The slight oceanic warming along the Iberian margin was probably accompanied by an atmospheric warming, which led to a pollen-inferred expansion of *Pinus* forests on the Iberian Peninsula at ca.  $17\,000$  cal yr BP as dated based on marine sediments (Naughton et al., 2009). Warming before the onset of the Bølling interstadial is also documented in other regions and continents of the Northern Hemisphere. Decreasing  $\delta^{18}\text{O}$  values in stalagmites from Hulu and Tangshan caves (China) between  $\sim 15\,800$  and  $\sim 15\,000$  cal yr BP imply a more intense East Asian monsoon, probably because of warmer temperatures (Wang et al., 2001; Zhao et al., 2003). In the North Pacific warming is recorded in marine sequences at about  $16\,750$  cal yr BP (Hill et al., 2006).





**Fig. 7.** (a) Chironomid-inferred July air temperature estimates (including sample specific error of prediction: SSPE), (b) carbon isotope data from Soreq and Peqin caves in central Israel (Bar-Matthews et al., 1999, 2003) and (c) from Sofular cave in northern Turkey (Fleitmann et al., 2009), and (d) alkenone-derived sea surface temperature data from two sediment cores (BS79-33 and BS79-38) from the western Mediterranean Sea (Cacho et al., 2001).

At Origlio, the onset of the Bølling/Allerød interstadial was characterized by an abrupt warming of ca. 2.5–3.2 °C at about 14 550 cal yr BP, with July air temperatures reaching on average ca. 14 °C. Simultaneously, vegetation dynamics at Origlio show that an abrupt change in forest composition and density occurred at ~ 14 550 cal yr BP, when timberline *Pinus cembra* woodland was replaced by dense *Pinus sylvestris* and *Betula* forests (Fig. 6; Tinner et al., 1999). At the same time, tundra or alpine vegetation between 1000 and 1600 m a.s.l. was abruptly displaced by forests in southern Switzerland and northern Italy and this upslope migration of forests was coeval with the first woodland expansion north of the Alps (Vescovi et al., 2007).

A temperature increase in the range of 2–4 °C at the onset of the Bølling-Allerød interstadial was also recorded in chironomid records from northern Italy (Heiri et al., 2007b; Larocque and Finsinger, 2008), the Jura Mountains (Heiri and Millet, 2005), and the Northern Alps (Larocque-Tobler, 2010; Lotter et al., 2012). Wagner-Cremer and Lotter (2011) reconstructed for Schleinsee, southern Germany, an increase from 600 to 700 growing degree-days. This shift was simultaneous with the shift in oxygen isotopes in bulk carbonate towards higher values at the onset of Bølling-Allerød interstadial. The Bølling/Allerød interstadial is considered to represent the same event as GI-1 in the NGRIP  $\delta^{18}\text{O}$  record, the onset of which has an estimated age of ca. 14 650 cal yr BP (0 = 1950 AD) (Svensson et al., 2008). The age for the beginning of the Bølling/Allerød interstadial of ~ 14 550 cal yr BP in the Origlio record is thus in good agreement with other northern-hemispherical records considering the chronological uncertainty of ca.  $\pm 235$  yr for this period.

The general course of temperature at Origlio during the Bølling/Allerød interstadial agrees with other

chironomid-based temperature reconstructions from Italy and the Alps (Heiri and Millet, 2005; Heiri et al., 2007b; Larocque and Finsinger, 2008; Ilyashuk et al., 2009; Larocque-Tobler, 2010; Samartin et al., 2012). However, in contrast to the Greenland NGRIP  $\delta^{18}\text{O}$  record (Svensson et al., 2008), which shows a gradual declining temperature trend since the onset of the Bølling-Allerød interstadial, the Origlio record, as well as other European chironomid-inferred temperature records (e.g. Heiri and Millet, 2005), shows a gradually increasing trend in summer temperatures, whereas the Ammersee  $\delta^{18}\text{O}$  record shows rather stable temperatures (von Grafenstein et al., 1999). New multi-proxy evidence from Gerzensee (Lotter et al., 2012) suggests that changes in insolation-forced seasonality may have contributed to this contrasting evidence. Pollen-reconstructed temperatures and oxygen-isotopes at Gerzensee show declining temperatures throughout the Bølling/Allerød interstadial, which are comparable to the Greenland oxygen-isotope records, while chironomid-based reconstructions again suggest increasing summer temperature trends (Lotter et al., 2012). It is likely that chironomids are more indicative for summer temperature changes than (perennial) plants and oxygen isotopes. The chironomid record at Gerzensee may therefore reflect a summer-insolation driven warming trend, while pollen and oxygen isotopes may also reflect declining temperatures in response to decreasing winter insolation. Vegetation evidence supports the chironomid-inferred palaeotemperature estimates at Origlio with summer warmth-loving mixed oak forests expanding in the region towards the end of the Allerød (Vescovi et al., 2007; Fig. 6).

Reconstructed Bølling/Allerød temperatures are significantly cooler at Origlio than at other sites in northern Italy (Heiri et al., 2007b; Larocque and Finsinger, 2008),

if corrected for altitude using standard lapse rates ( $14^{\circ}\text{C}$  vs. ca.  $18.5\text{--}19.6^{\circ}\text{C}$ ). Chironomid-inferred temperatures at Origlio during the Bølling/Allerød are relatively close to the warmer limit of the temperature gradient in the modern training set used to develop the applied transfer function, which may have influenced the results. However the persistence of boreal forests (*Pinus sylvestris*, tree *Betula*, few *Pinus cembra*) in the lowlands despite the local presence of thermophilous taxa (e.g. *Quercus*, *Tilia*, *Ulmus*) since at least  $\sim 13\,400$  cal yr BP (e.g. Finsinger et al., 2006), advocates against summer temperatures significantly higher than  $15\text{--}16^{\circ}\text{C}$ . One possible reason for the differences of the available temperature reconstructions might be the different training sets used for temperature estimates, with the Swiss–Norwegian training set (July air temperature range  $3.5\text{--}18.4^{\circ}\text{C}$ ; Heiri et al., 2011) permitting a good coverage of both European high- and mid-latitudes covering tundra, boreal, and temperate environments. Again, local climatic effects affecting the different sites to a varying extent may also play a role explaining these differences.

The Origlio pollen record indicates that forests declined only marginally during the Younger Dryas ( $\sim 12\,750\text{--}11\,600$  cal yr BP, Fig. 6), when steppic vegetation re-expanded together with *Pinus cembra*, *Larix*, and *Betula*. If compared with other chironomid-based temperature reconstructions from the Alps and Italy, average Younger Dryas temperatures at Origlio were comparable or slightly lower, if corrected for altitude ( $15^{\circ}\text{C}$  vs. of  $15.7\text{--}18^{\circ}\text{C}$ ), but still within the errors of the records ( $\pm 1.5\text{--}1.75^{\circ}\text{C}$  SSPE). In the Origlio record, chironomid-inferred July air temperatures decrease only slightly during the Younger Dryas period. However, similar results have been described from other European sites sheltered from the direct influence of the North Atlantic by Central European mountain ranges (e.g. in the southern Carpathians; Toth et al., 2012). Finally, for the earliest Holocene the chironomid record infers similar July air temperatures as for the preceding Allerød and Younger Dryas periods.

## 5 Conclusions

This study provides the first quantitative, non-pollen based evidence from Po-River catchment for a deglacial warming that took place  $\sim 1500$  yr prior to the onset of the Bølling/Allerød interstadial. In agreement with these results, the forest succession in the formerly glaciated areas of northern Italy and southern Switzerland occurred ca. 1.5 millennia prior to the onset of similar afforestation processes north of the Alps and can be explained by a climate warming after Heinrich event 1 at  $\sim 16\,700\text{--}16\,000$  cal yr BP.

The early deglacial warming observed in the Origlio record and elsewhere in southern Europe (e.g. southern France, Turkey) as well as in several marine palaeoclimate records probably reflects the integration of both regional,

northern hemispheric, and global influences. Minor oscillations in the northward Atlantic heat transport were likely sufficient to restrict the entrance of cold waters through the Strait of Gibraltar, causing the early post Heinrich event 1 warming in the Mediterranean realm (Cacho et al., 2001) (Fig. 7).

Warming between  $\sim 16\,700\text{--}16\,000$  cal yr BP in the Mediterranean realm, coupled with rising atmospheric  $\text{CO}_2$  concentrations was sufficient to allow forest spread where moisture availability was not limiting tree growth. The crucial role of moisture for forest growth in the Mediterranean is evidenced by the striking postglacial afforestation gradient along the Italian Peninsula, which is inverse to temperature (Tinner et al., 2009). Forests expanded at  $\sim 16\,500\text{--}16\,000$  in northern Italy (Vescovi et al., 2007) and at  $\sim 14\,500\text{--}13\,000$  cal yr BP in central (Magri, 1999; Magri and Sadori, 1999; Drescher-Schneider et al., 2007; Colombaroli et al., 2008) and southern Italy (Allen et al., 2002), whereas afforestation was delayed until ca.  $10\,000$  cal yr BP in the upland areas (Sadori and Narcisi, 2001) and until  $\sim 7000$  cal yr BP in the drier (and warmest) coastal lowlands of Sicily (Tinner et al., 2009).

At present evidence for a similar early summer temperature warming north of the Alps as the one detected in northern Italy is sparse. Since the region north of the Alps was deforested and potentially affected by a tree immigration lag, palaeobotanical proxy records cannot resolve this question. Other comparable, well-dated, and quantitative summer temperature records are presently lacking. However, it is likely that a marked summer temperature gradient existed between Southern Europe and the rest of the continent, where unambiguous evidence of a warming in the period  $\sim 16\,000\text{--}16\,700$  cal BP is lacking. The huge ice sheets that were still covering wide parts of Northern Europe at that time will have affected atmospheric circulation in Northern and Central Europe. In addition, the final recovery of the meridional overturning circulation in the North Atlantic did not occur before the onset of the Bølling/Allerød interstadial (McManus et al., 2004). Therefore, ocean circulation recovery after Heinrich event 1 may have been insufficient to trigger substantial climatic warming in Central and Northern Europe prior to  $\sim 14\,600$  cal yr BP. This implies that between  $\sim 16\,700$  (end of Heinrich event 1) and  $\sim 14\,700$  (onset of Bølling/Allerød interstadial), meltwater events and associated variations in ocean circulation contributed to a north–south temperature gradient in Europe, which may have been significantly steeper than after the recovery of the Atlantic meridional overturning circulation when northern hemispherical ice coverage quickly decreased (McManus et al., 2004).

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